



10/532275
PCT/GB-2003 / 004091
RECD 20 Oct 2003
WIP



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

Signed

Dated 17 September 2003

BEST AVAILABLE COPY

**CERTIFIED COPY OF
PRIORITY DOCUMENT**



1/77
240102 7756149/1 002573
P01/7700/0.00-224709/6

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your Reference P58755V 24 OCT 2002

2. Patent Application number
(The Patent Office will fill in this part) 0224709.6

3. Full name, address and postcode of the or each applicant (underline all surnames) The BOC Group plc
Chertsey Road
Windlesham
Surrey
GU20 6HJ

Patents ADP Number (if you know it)

7975949001

If the applicant is a corporate body, give the country/state of its incorporation

UK

4. Title of the invention Improvements in Dry Pumps

5. Name of your agent (if you have one)
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Fry Heath & Spence LLP

The Gables
Massetts Road
Horley, Surrey RH6 7DQ

THE BOC GROUP PLC
CHERTSEY ROAD
WINDLESHAM, SURREY,
GU20 6HJ

Patents ADP Number (if you know it)

05380272001

845985400179001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or each of these earlier applications and (if you know it) the or each application number.

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application.

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor; or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

(See note (d))

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document.

Continuation sheets of this form	0
Description	8
Claim(s)	3
Abstract	1
Drawing(s)	4 <i>dt</i>

10. If you are also filing any of the following, state how many against each item.

Priority documents	0
Translations of priority documents	0
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	0
Request for preliminary examination and search (Patents form 9/77)	1
Request for substantive examination (Patents form 10/77)	0
Any other documents (please specify)	0

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

Victoria J Townsend 23 October 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

Victoria J Townsend - 01293 776880

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you filing or applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

IMPROVEMENTS IN DRY PUMPS

This invention relates to dry pumps and in particular to the clearing of particulate dirt from dry pumps.

Dry pumps typically comprise non-contacting, self-valving mechanisms and no oil or lubricants in the pumping mechanism. The component parts of these pumps are manufactured to tight tolerances to provide fixed running clearances between components and reduce friction or other reactive forces, which may reduce the efficiency of the pump mechanism. The pumps are used in many manufacturing applications, one of the major of which is semi-conductor manufacture. The pumps are used to provide the very clean, near vacuum environment needed for the manufacture of quality semi-conductor products. The skilled addressee will no doubt be familiar with other common applications of dry pump technology.

Many industries including the semi-conductor industry produce particulate or powderous waste or bi-products which are withdrawn from the manufacturing environment by pumps such as the dry pumps to which this invention relates. In the semi-conductor industry it is usual for manufacturing lines to run twenty four hours a day, thus, dry pumps used in this application are in continuous use except where there is a need for a manufacturing line change or maintenance or repair of the pump. The pumps have an inlet purge function on shut down for evacuating contaminants from the pump mechanism, but these purge functions rarely operate one hundred per cent efficiently and some level of particulate contamination invariably remains within the pump.

Running temperatures for dry pumps in semi-conductor manufacturing lines are typically around 120°C, when the pumps are switched off, they cool to normal room temperature (around 19 °C), the components (such as rotors and stators in the pump mechanism) contract, reducing the running clearances between them and any particulate contaminants present in the mechanism are compacted in between the contracted components. On restart, where the torque required to overcome the friction caused by the presence of these particulate materials compacted between the components is higher than the operational torque of the pump, start-up failure occurs.

The present invention aims to maintain running clearances of dry pumps and minimise the occurrence of restart failure due to compacted particulate contaminants.

In accordance with a first aspect, the present invention provides a dry pump apparatus comprising;

a pumping mechanism,

a controller for controlling the operation of the pumping mechanism, and

a sensor for sensing the operating temperature of the pumping mechanism wherein the controller is configured to carry out an automated shutdown sequence involving the following steps;

- a) ceasing operation of the pumping mechanism
- b) monitoring the temperature of the pumping mechanism by means of the temperature sensor
- c) at at least one pre-selected temperature interval, initiating operation of the pumping mechanism for a fixed time period so as to purge a proportion of contaminant

3

particulate matter present until a predefined temperature is reached or a predefined time limit has passed.

This pulsed purging method effected by the controller of the dry pump apparatus enables small amounts of contaminant to be evacuated from the pump as it cools so that when the apparatus is cooled to the ambient temperature, there is significantly less particulate contaminant in the pumping mechanism than there would otherwise be. Thus, the particulate material is less compact and frictional forces to be overcome on start-up, significantly less. Consequently, the occurrence of failure on restart is significantly reduced.

It will be understood that this pulsed shut down method is what provides the technical improvement in the function of prior art dry pumps. Accordingly, in a second aspect, the invention provides a method for reducing the incidence of restart failure in a dry pump comprising the steps of;

- a) detecting the cessation of operation of the pumping mechanism
- b) monitoring the temperature of the pumping mechanism after cessation of operation
- c) at at least one pre-selected temperature interval, initiating operation of the pumping mechanism for a fixed time period so as to purge a proportion of contaminant particulate matter present until a predefined temperature is reached or a predefined time limit has passed.

The controller of the dry pump apparatus may comprise a microprocessor which may be embodied in a computer, which in turn is optionally programmed by computer software which, when installed on

the computer, causes it to perform the method steps a) to c) mentioned above.

In a third aspect therefore the invention comprises a program for a computer which, when installed on the computer, causes it to perform the method steps of;

- a) detecting the cessation of operation of the pumping mechanism
- b) monitoring the temperature of the pumping mechanism after cessation of operation
- c) at at least one pre-selected temperature interval, initiating operation of the dry pump for a fixed time period so as to purge a proportion of contaminant particulate matter present until a predefined temperature is reached or a predefined time limit has passed.

In a fourth aspect, the invention comprises a computer readable carrier medium which carries a computer program which when installed on a computer, causes it to perform the method steps of;

- a) detecting the cessation of operation of the pumping mechanism
- b) monitoring the temperature of the pumping mechanism after cessation of operation
- c) at at least one pre-selected temperature interval, initiating operation of the dry pump for a fixed time period so as to purge a proportion of contaminant particulate matter present until a predefined temperature is reached or a predefined time limit has passed.

The carrier medium may be selected from but is not strictly limited to a floppy disk, a CD, a mini-disc or digital tape.

In one preferred option, the pulsed shut down method is performed at intervals corresponding to regular drops in the internal temperature of the pump apparatus. A suggested temperature drop interval is 10 degrees though this is not essential. The interval may equally be 2 degrees, 30 degrees or anything in between. Appropriate temperature intervals may be selected based on the cooling conditions, the amount of time available for the pulsed shut down process and other factors. Alternatively less regular temperature intervals may be pre-selected. For example a number of small intervals (eg 2 degrees) may be selected for the early part of the cooling period and increasingly larger intervals as the apparatus approaches the predefined "cool" temperature.

The fixed time period of the pulse is again variable and will desirably be selected based on cooling conditions or other practical factors. A fixed time period of between 15 and 45 seconds is suggested, and about 30 seconds considered practical. The fixed time period may be the same for each pre-selected temperature interval, or may be different. For example, the period may be of relatively longer duration at lower temperatures.

The duration of the pulse may be dictated by the apparatus reaching a predefined "cool" temperature, such as the usual room temperature. Alternatively, the method may be performed for a fixed time period irrespective of the cooling time. In the latter case a duration of about 2 hours is suggested, but not essential.

At the end of each fixed time period of operation of the pump mechanism a separate inlet purge function may be effected by the controller.

In some embodiments, the controller may be configured to cease the pulsed shutdown method when the first of a predetermined temperature or a predefined time limit has been reached.

The dry pump apparatus may be of any known form but one preferred form is a dry pump which includes a claw type rotor. Dry pumps of this form are known in the prior art. Briefly, they include a pair of shafts each carrying a pair of claw shaped rotors which rotate in opposite directions to trap and compress gas flowing along the axis of the shafts between each claw pair. During each complete rotation of the shafts, first the inlet port of each claw pair is exposed then both the inlet and outlet are isolated, finally the outlet is exposed allowing trapped gas to be expelled. In these arrangements, the controller controls the rotation of the shafts.

Since many existing dry pump apparatus include a controller which runs software for operating the pump, the invention can conveniently be implemented by uploading the computer program of the invention to the existing controller. Thus the control can be configured on shutdown automatically to perform the pulsed shut down method of the invention.

For the purposes of exemplification, some embodiments of the invention will now be described with reference to the following Figures in which:

Figure 1 illustrates the problem of particulate contamination addressed by the present invention

Figure 2 illustrates how the present invention affects the process illustrated in figure 1

Figure 3 illustrates the method of the invention in a time line format

7
Figure 4 illustrates the method of the invention in graph form.

Figure 1 shows schematically the pumping mechanism of a dry pump apparatus 1 having a drive unit D driving a pair of shafts 1a, 1b each carrying a stator Sa, Sb and a rotor Ra, Rb. Figures 1(a), 1(b) and 1(c) show the relationship between a rotor R and a stator S of the pumping mechanism. Figure 1(a) illustrates the arrangement between the rotor R and stator S at normal running temperature of the pump. The running clearance between the stator S and rotor R is shown as d_1 . As shown in Figure 1(b) as the apparatus cools, the running clearance d_2 is reduced due to contraction of the shaft carrying the stator S and rotor R. As shown in Figure 1(c), powder P which may have accumulated on the surface of the stator S, can become compacted in the reduced clearance between the stator S and rotor R. This compaction results in a frictional force to be overcome by the rotor R if it is to rotate on restart of the apparatus. If sufficient torque is not provided to the rotor R to overcome this frictional force, then start up failure occurs.

Figure 2 shows in sequential order (Figures (a) to (f)) a stator S and rotor R cooling from running temperature (Figure 2(a)) to gradually cooler temperatures (Figures 2(b) – 2(f)). In each of Figures 2(a) to 2(e), it can be seen that there is a layer of settled powder P settled on the surface of the stator S. It will also be noted that the clearance between the stator S and rotor R gradually decrease as the temperature of the apparatus falls. Between Figures 2(b) and 2(c), 2(c) and 2(d) and 2(e) and 2(f), the pump is briefly activated and a proportion of the powder P is evacuated. Thus when the final cooling temperature is reached (Figure 2(f)) the quantity of powder is minimal and insufficient to cause any great counter force against the torque of the rotor on restart. Thus the occurrence of start-up failure on restart is reduced.

Figure 3 shows a time line of the pulsed shut down method of the invention. As can be seen, in tandem with the pulse sequence shown in the top line, a booster associated with the pump may be configured to run for a brief period after initial shutdown to aid in removal of any powderous contaminant within the pump mechanism to reduce the initial quantity which may settle on the stator while the pumping mechanism is inactive. As can be seen from the top line of the figure, after shutdown, the pump remains active for around 30 seconds and then is dormant for a period ($\Delta T = 10\text{deg}$) while the internal temperature of the mechanism, monitored by the controller falls to 10 degrees (centigrade) below the normal operating temperature. When the period is complete, the pump is activated for 30 seconds then again held dormant until a further fall of 10 degrees in the monitored temperature. The cycle is repeated until either the monitored temperature is 40°C , or the time elapsed since the start of the sequence is two hours.

Figure 4 illustrates the method of Figure 3 in graphical form. The vertical axis corresponds to the monitored temperature of the pumping mechanism, the horizontal axis corresponds to the passage of time. The thick, black curved line shows the monitored temperature gradually falling. The thinner, pulsed line shows active and dormant periods of the pumping mechanism during the cooling process.

It is to be understood that the foregoing represents just a few embodiments of the invention, others of which will no doubt occur to the skilled addressee without departing from the true scope of the invention as defined by the claims appended hereto.

CLAIMS

1. A dry pump apparatus comprising;
 - a pumping mechanism,
 - a controller for controlling the operation of the pumping mechanism, and
 - a sensor for sensing the operating temperature of the pumping mechanism

wherein the controller is configured to carry out an automated shutdown sequence involving the following steps;

 - a) ceasing operation of the pumping mechanism
 - b) monitoring the temperature of the pumping mechanism by means of the temperature sensor
 - c) at at least one pre-selected temperature interval, initiating operation of the pumping mechanism for a fixed time period so as to purge a proportion of contaminant particulate matter present until a predefined temperature is reached or a predefined time limit has passed.
2. A dry pump apparatus as claimed in claim 1 wherein the controller comprises a microprocessor.
3. A dry pump apparatus as claimed in claim 2 wherein the microprocessor is embodied in a computer.
4. A dry pump as claimed in claim 3 wherein the computer has installed thereon computer software which causes it to perform the method steps a) to c).
5. A dry pump apparatus as claimed in any preceding claim wherein the pumping mechanism includes a claw type rotor arrangement.

6. A method for reducing the incidence of restart failure in a dry pump comprising the steps of;
 - a) detecting the cessation of operation of the pumping mechanism
 - b) monitoring the temperature of the pumping mechanism after cessation of operation
 - c) at least one pre-selected temperature interval, initiating operation of the pumping mechanism for a fixed time period so as to purge a proportion of contaminant particulate matter present until a predefined temperature is reached or a predefined time limit has passed.
7. A method as claimed in claim 7 wherein step c) is performed at pre-selected temperature intervals corresponding to regular drops in the monitored temperature of the pumping mechanism.
8. A method as claimed in claim 7 wherein the regular drop interval is 10°C.
9. A method as claimed in any of claims 6 to 8 wherein the fixed time period is between 15 and 45 seconds inclusive.
10. A method as claimed in any of claims 6 to 9 wherein the fixed time period is the same for each pre-selected temperature interval.
11. A method as claimed in claim 10 wherein the fixed time period is 30 seconds.
12. A method as claimed in any of claims 6 to 9 wherein the fixed time period is different for each pre-selected temperature interval.

11

13. A method as claimed in any of claims 6 to 12 wherein the method is performed for a predefined time limit.
14. A method as claimed in claim 13 wherein the predefined time limit is 2 hours from cessation of operation.
15. A method as claimed in any of claims 6 to 14 wherein at the end of each fixed time period of operation of the pump mechanism a separate inlet purge function is effected by the controller.
16. A method as claimed in any of claims 6 to 15 wherein the method is ceased when the first of a predetermined temperature or a predefined time limit has been reached.
17. A computer program which, when installed on a computer, causes the computer to perform the method of any of claims 6 to 16.
18. A computer readable carrier medium which carries a computer program as claimed in claim 17.
19. A computer readable carrier medium wherein the medium is selected from; a floppy disk, a CD, a mini-disc or digital tape.
20. A dry pump apparatus substantially as described herein with reference to the Figures 1 to 4.
21. A method for reducing the incidence of restart failure in a dry pump substantially as described herein with reference to Figures 1 to 4.
22. A computer program substantially as described herein with reference to the Figures 1 to 4.

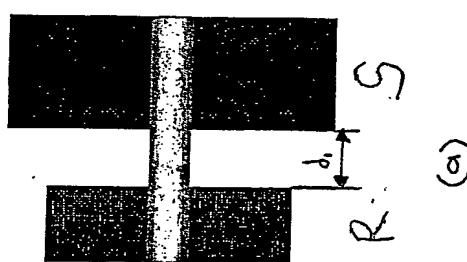
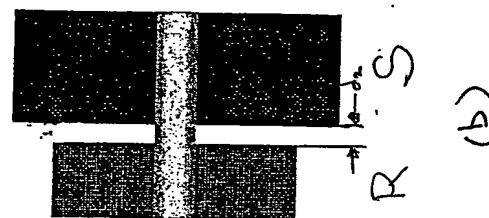
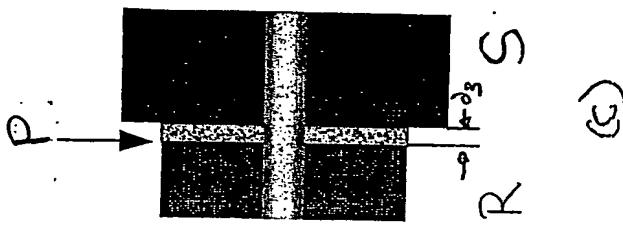
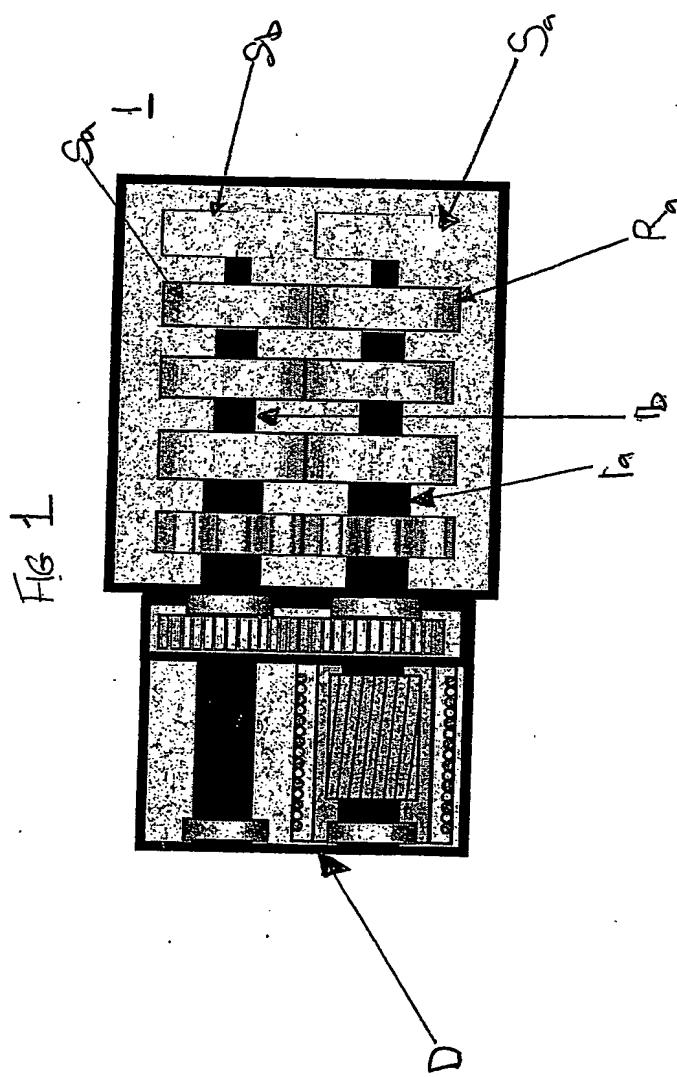
ABSTRACT**IMPROVEMENTS IN DRY PUMPS**

A dry pump apparatus comprises; a pumping mechanism, a controller for controlling the operation of the pumping mechanism, and a sensor for sensing the operating temperature of the pumping mechanism. The controller is configured to carry out an automated shutdown sequence involving the following steps;

- a) ceasing operation of the pumping mechanism
- b) monitoring the temperature of the pumping mechanism by means of the temperature sensor
- c) at at least one pre-selected temperature interval, initiating operation of the pumping mechanism for a fixed time period so as to purge a proportion of contaminant particulate matter present until a predefined temperature is reached or a predefined time limit has passed. By carrying out these steps the incidence of powder compaction between component parts of the apparatus which may contract during shutdown, and consequential restart failure and down time, can be significantly reduced.

[Fig. 2]

1/4



TEST AVAILABLE COPY

Fig. 2

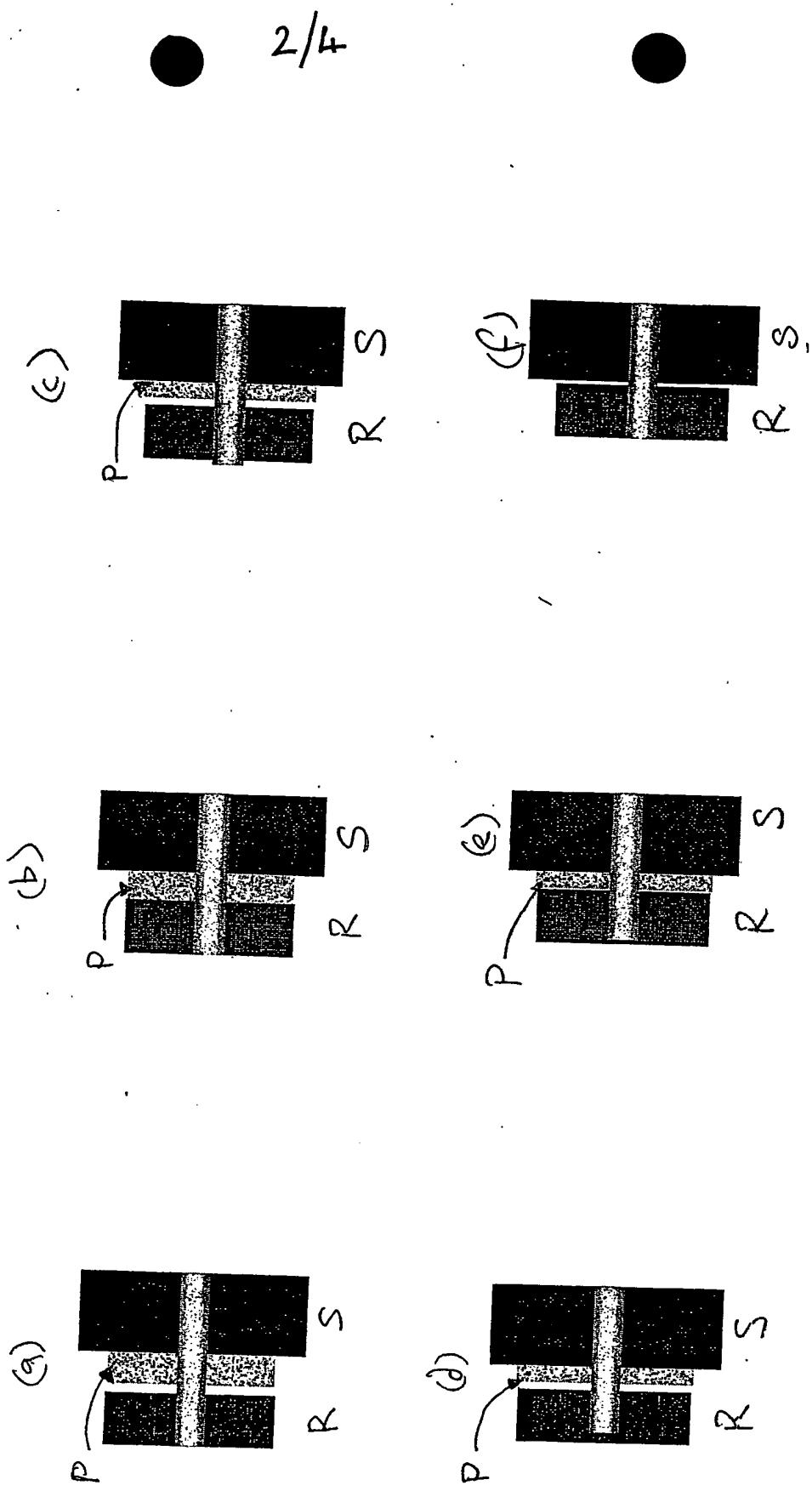
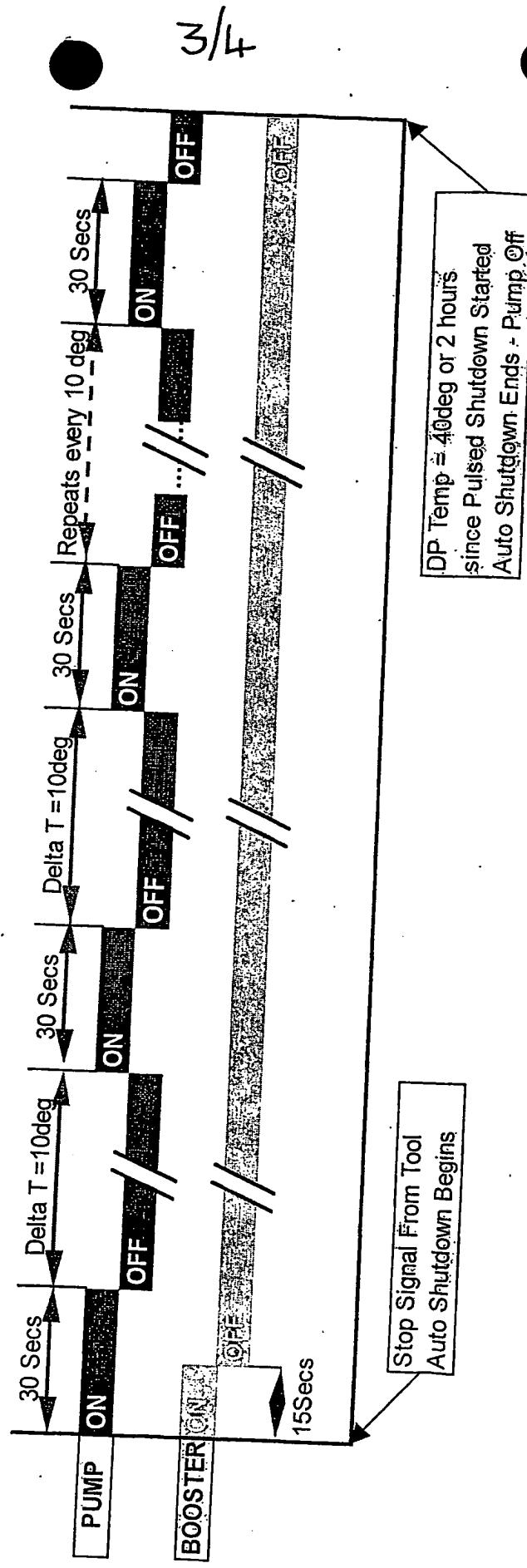
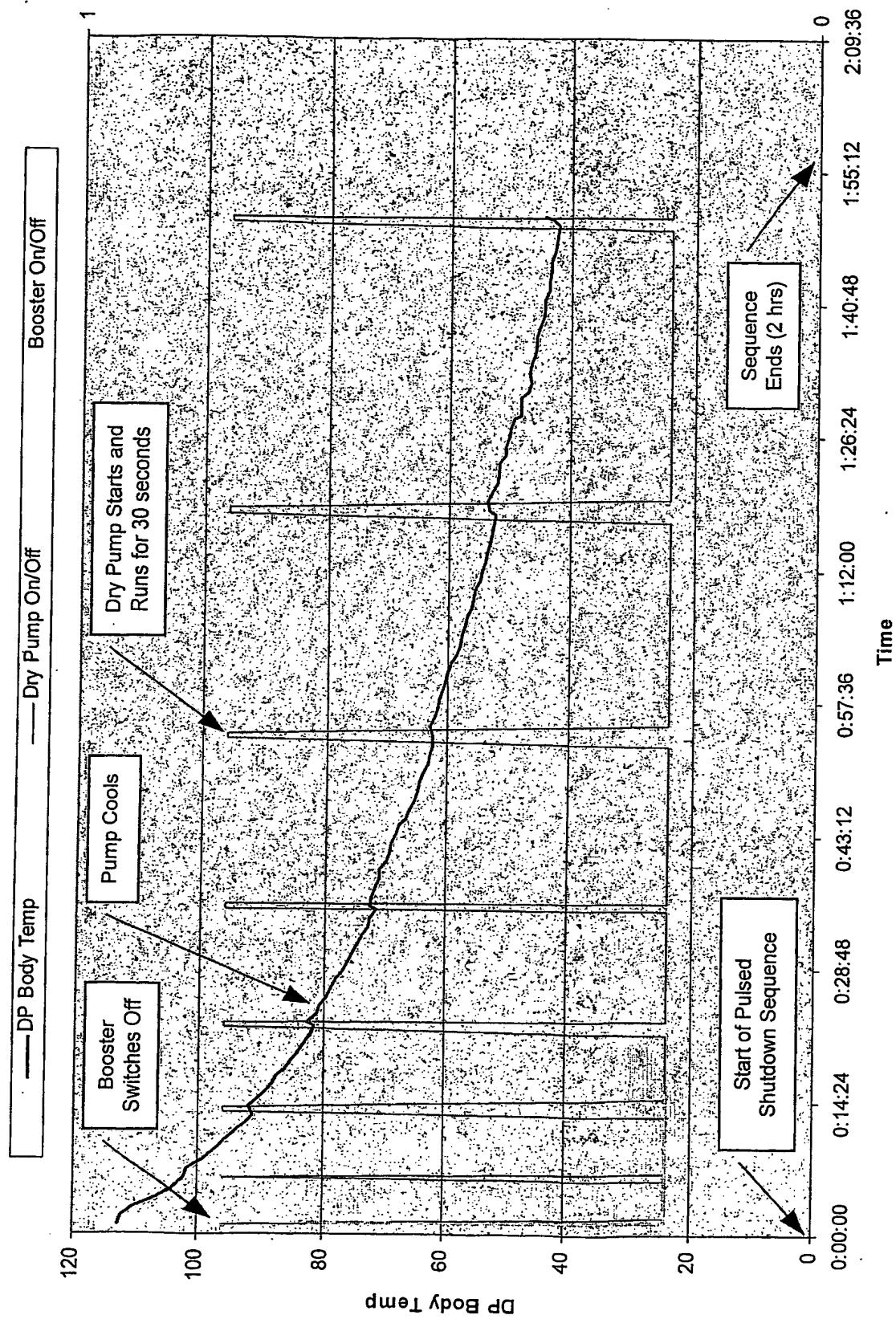


Fig. 3.



BEST AVAILABLE COPY

Fig 4



BEST AVAILABLE COPIE